

07/07/00

US. PTO

Please type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/05 (12/97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

ST9-99-134

Total Pages

55

First Named Inventor or Application Identifier

Lynh Nguyen

Express Mail Label No.

EL487543785US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages **37**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Application
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets **7**]
4. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation /divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if
applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
if foreign priority is claimed
16. ☒ Other: Express Mail Certificate

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

21552

or ☐ Correspondence address below

NAME

John R. Thompson

ADDRESS

CITY

STATE

ZIP

COUNTRY

TELEPHONE

FAX

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

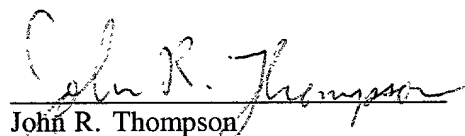
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: EL487543785US

Date of Deposit: July 7, 2000

I hereby certify that this patent application in the name of Lynh Nguyen for LIVE CONNECTION ENHANCEMENT FOR DATA SOURCE INTERFACE, together with the drawings, a Utility Patent Application Transmittal, a Fee Transmittal, a single signature Declaration, Power of Attorney, and Petition, an Assignment, a Recordation Form Cover Sheet, an Information Disclosure Statement, Form PTO-1449, copies of cited references, and Check No. 13773 for \$1252.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,



John R. Thompson
Reg. No. 40,842
Attorney for Applicant

Date: July 7, 2000

MADSON & METCALF
Gateway Tower West
15 West South Temple, Suite 900
Salt Lake City, Utah 84101
Telephone: 801/537-1700

Express Mailing Label No. EL487543785US

PATENT APPLICATION
IBM No. ST9-99-134

UNITED STATES PATENT APPLICATION

of

LYNH NGUYEN

for

LIVE CONNECTION ENHANCEMENT FOR DATA SOURCE INTERFACE

MADSON & METCALF, P.C.
ATTORNEYS AT LAW
900 GATEWAY TOWER WEST
15 WEST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84101

UNITED STATES PATENT APPLICATION
FILED IN THE OFFICE OF THE
UNITED STATES PATENT AND
TRADEMARK OFFICE
ON 09/15/99
BY
MADSON & METCALF, P.C.
ATTORNEYS AT LAW
SALT LAKE CITY, UTAH 84101

LIVE CONNECTION ENHANCEMENT FOR DATA SOURCE INTERFACE

BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates generally to the management of a remote application connection to a data source. More particularly, the present invention relates to providing a system and method for improving the connection of a plurality of remote applications to a database across the Internet.

Relevant Technology

Databases are computerized information storage and retrieval systems. A Relational Database Management System (RDBMS) is a database system which uses relational techniques for storing and retrieving data. Relational databases are organized into tables consisting of rows and columns of data. A database typically includes many tables, and each table includes multiple rows and columns. The tables are conventionally stored in direct access storage devices (DASD), such as magnetic or optical disk drives, for semi-permanent storage.

Generally, users communicate with an RDBMS using a Structured Query Language (SQL) interface. The SQL interface allows users to create, manipulate, and query a database by formulating relational operations on the tables, either interactively, in batch files, or embedded in host languages such as C and COBOL. SQL has evolved into a standard language for RDBMS software and has been adopted as such by both the

1 American National Standards Institute (ANSI) and the International Standards
2 Organization (ISO).

3 A common application for databases relates to their interaction with Internet web
4 browsers. A remote application such as a web browser may be used to query data from a
5 data base. The data may then be used to create a table or other display of information on
6 the web browser. This requires the transfer of data files and the formatting of data on the
7 web browser. As the database is responsive to SQL and a web browser requires an
8 HTML format, an interface module is required to enable interaction between the web
9 browser and the database. One example of such an interface module is Net.Data
10 available from IBM Corp., Armonk, New York.

11 Net.Data enables Internet and Intranet access to relational data on a variety of
12 platforms. Net.Data incorporates a macro language which supports both HTML and SQL
13 and allows for interaction with universal web browsers and relational database systems.
14 Net.Data operates in conjunction with a web server interface and supports client-side
15 processing as well as server-side processing with languages such as Java, REXX, Perl
16 and C++. Net.Data provides database connectivity to a variety of data sources including
17 information stored in relational databases, flat files Java files, Perl files and the like.

18 Net.Data may support a variety of operating systems, including OS/2, AIX,
19 Windows NT, HP-UX, Solaris, SCO, OS/390 and OS/400. Net.Data is further able to
20 cache web pages to improve application performance, particularly when repeated requests
21 are made for the same web page. Although this invention is compatible for use with an

1 interface module such as Net.Data, other common gateway interface applications may be
2 used with the present invention as well.

3 The interface module, which may be resident on a server, receives a query from a
4 web browser, formats the query into SQL, and interacts with the database to receive data
5 and create the table or other display of information. The interface module then uses its
6 macrolanguage to present the data to the web browser in HTML format. The web
7 browser, in turn, displays the data to a user of the remote application. In this manner, the
8 interface module serves as an Internet gateway for accessing a database. However, every
9 time the interface module connects to the database, requests a connection set up for the
10 database, or sends a query to get data results from the data base, the interface must
11 perform a set of time-consuming processes such as initialization, authorization, password
12 confirmation, and the like. These processes can be time consuming, slowing down the
13 entire system and process.

14 One attempt to overcome the problem of slower connections was to create a
15 database manager to assist in synchronizing the connection of the interface modules with
16 various ports of the database. However, in order to accommodate numerous web
17 browsers, multiple applications of an interface module may be running, which may
18 complicate the system. With increased use and complication, the system may be difficult
19 to debug when a problem arises. Additionally, because everything runs through a
20 connection manager, there are often miscommunications, synchronization problems, and
21 bottlenecks between the user applications and the database.

1 If other databases were static and the data source became inactive or went down
2 for whatever reason, the data manager would not be able to tell when the data source
3 became active again. Thus, subsequent queries would still detect an inactive data source.
4 In order to establish another connection with the data source, the whole system would
5 have to be reinitialized. In situations where the data manager managed multiple users,
6 this reinitialization would affect remote users whose connection to a data base was
7 functioning normally.

8 Thus, it would be an advancement in the art to provide a system and method for
9 connecting a plurality of remote applications with a data source in a simplified way.

10 It would be a further advancement in the art to provide such a system and method
11 which would remove the likelihood of bottleneck by removing the necessity of routing
12 every communication between the interface module and data source through the
13 connection manager.

14 It would be a further advancement in the art to provide such a system and method
15 that was dynamic and reliable and did not have to reinitialize if the data source became
16 inactive or "went down."

17 Such an apparatus and method in accordance with the present invention is
18 disclosed and claimed herein.

SUMMARY OF THE INVENTION

The present invention solves many or all of the foregoing problems by introducing a system and method by which a plurality of remote applications can connect with a data source without having all communication pass through a simple connection manager.

In one aspect of the invention, a system includes an interface module configured to interface with a remote application, a port module to interface between the interface module and the data source, and a connection manager module to facilitate the direct interface between the interface module and the port module. The connection manager may establish a connection between the connection manager and the port module. In one preferred embodiment, the port module reports its availability to the connection manager through this connection. This may be done by the connection manager retrieving a port module identifier, which identifies an available port module for connecting to the data source from a data structure. The port module identifier may then be passed to the interface module so that the interface module may connect directly to the port module, and thus, the data source.

The system in one preferred embodiment is configured to support HTML and provide interfacing between the requesting application and the data source. The interface module may be configured to convert the command from the remote application to a structured query language format to support interfacing with the data source.

1 In another aspect of the invention, a method for connecting a plurality of remote
2 applications with a data source includes the steps of providing at least one interface
3 module configured to interface with a remote application, providing at least one port
4 module to interface between the interface module and the data source, and providing a
5 connection manager to facilitate the interface between the interface module and the port
6 module. In a preferred embodiment, the method further includes establishing a direct
7 connection between the interface module and the port module. A port module identifier
8 for an available port module may be returned to the interface module for direct
9 connection between the interface module and the port module.

10 Thus, it is an object of the invention to provide for the management of the
11 connection between remote applications and a data source which alleviates bottlenecks in
12 the data flow. It is another object of the invention to simplify the connection process by
13 reducing the steps in the connection process. It is yet another object of the invention to
14 reduce the need to synchronize each module used in the connection process.

15 These and other objects, features, and advantages of the present invention will
16 become more fully apparent from the following description and appended claims, or may
17 be learned by the practice of the invention as set forth hereinafter.

18 **BRIEF DESCRIPTION OF THE DRAWINGS**

19 These and other more detailed and specific objects and features of the present
20 invention are more fully disclosed in the following specification, with reference to the
21 accompanying drawings, in which:

1 Figure 1 is a schematic block diagram of a computer system suitable for
2 implementing one embodiment of the invention;

3 Figure 2 is a schematic block diagram of one embodiment of a system in
4 accordance with the present invention;

5 Figure 3 is a schematic block diagram illustrating the components of one
6 embodiment of a system for connecting a plurality of remote applications with a data
7 source;

8 Figure 4 is a schematic block diagram illustrating more details of the embodiment
9 of Figure 2;

10 Figure 5 is a schematic block diagram of a data structure for use in the system for
11 connecting a plurality of remote applications with a data source.

12 Figure 6 is a schematic block diagram of a data structure for use in the system for
13 connecting a plurality of remote applications with a data source;

14 Figure 7 is a schematic block diagram illustrating the components of an
15 alternative embodiment for a system for connecting a plurality of remote applications
16 with a data source; and

17 Figure 8 is a flow diagram illustrating steps performed in one embodiment of a
18 method in accordance with the present invention.

19
20 **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

1 Certain preferred embodiments of a system in accordance with the invention are
2 now described with reference to the Figures 1-8, where like reference numbers indicate
3 identical or functionally similar elements. The components of the present invention, as
4 generally described and illustrated in the Figures, may be implemented in a wide variety
5 of configurations. Thus, the following more detailed description of the embodiments of
6 the system and method of the present invention, as represented in the Figures 1-8, is not
7 intended to limit the scope of the invention, as claimed, but is merely representative of
8 presently preferred embodiments of the invention.

9 Various components of the invention are described herein as “modules.” In
10 various embodiments, the modules may be implemented as software, hardware, firmware,
11 or any combination thereof. For example, as used herein, a module may include any
12 type of computer instruction or computer executable code located within a memory
13 device and/or transmitted as electronic signals over a system bus or network. An
14 identified module may, for instance, comprise one or more physical or logical blocks of
15 computer instructions, which may be organized as an object, procedure, function, or the
16 like.

17 Nevertheless, the identified modules need not be located together, but may
18 comprise disparate instructions stored in different locations, which together implement
19 the described functionality of the module. Indeed, a module may comprise a single
20 instruction, or many instructions, and may even be distributed over several different code
21 segments, among different programs, and across several memory devices.

1 As used herein, the term executable code, or merely “executable,” is intended to
2 include any type of computer instruction and computer executable code that may be
3 located within a memory device and/or transmitted as electronic signals over a system
4 bus or network. An identified module of executable code may, for instance, comprise
5 one or more physical or logical blocks of computer instructions which may, for instance,
6 be organized as an object, procedure, or function. Nevertheless, the executables of an
7 identified module need not be located together, but may comprise disparate instructions
8 stored in different locations which together comprise the module and achieve the purpose
9 stated for the module. Indeed, an executable may be a single instruction, or many
10 instructions, and may even be distributed over several different code segments, among
11 different programs, and across several memory devices.

12 Similarly, operational data may be identified and illustrated herein within
13 modules, and may be embodied in any suitable form and organized within any suitable
14 type of data structure to be used, produced, or operated on during execution of an
15 executable. The operational data may be collected as a single data set, or may be
16 distributed over different locations including over different storage devices, and may at
17 least partially exist merely as electronic signals on a system bus or network.

18 Figure 1 is a schematic block diagram illustrating a computer system 10 in which
19 a plurality of modules may be hosted on one or more computer workstations 12
20 connected via a network 14. The network 14 may comprise a wide area network (WAN)
21

1 or local area network (LAN) and may also comprise an interconnected system of
2 networks, one particular example of which is the Internet.

3 A typical computer workstation 12 may include a central processing unit (CPU)
4 16. The CPU 16 may be operably connected to one or more memory devices 18. The
5 memory devices 18 are depicted as including a non-volatile storage device 20 (such as a
6 hard disk drive or CD-ROM drive), a read-only memory (ROM) 22, and a random access
7 memory (RAM) 24.

8 Preferably, the computer workstation 12 operates under the control of an
9 operating system (OS) 25, such as OS/2®, WINDOWS NT®, WINDOWS®, UNIX®, and
10 the like. In one embodiment, the OS 25 may provide a graphical user interface (GUI) to
11 enable the user to visually interact with the modules of the present invention. In one
12 embodiment, the OS 25 may be loaded from the non-volatile storage device 20 into the
13 RAM 24 at the time the workstation 12 is booted.

14 The workstation 12 may also include one or more input devices 26, such as a
15 mouse and/or a keyboard, for receiving inputs from a user. Similarly, one or more output
16 devices 28, such as a monitor and/or a printer, may be provided within, or be accessible
17 from, the workstation 12.

18 A network interface 30, such as an Ethernet adapter, may be provided for coupling
19 the workstation 12 to the network 14. In one embodiment, the workstations 12 may be
20 coupled to the network 14 via a distributed remote data architecture (DRDA). Where the
21 network 14 is remote from the workstation 12, the network interface 30 may comprise a

1 modem, and may connect to the network 14 through a local access line, such as a
2 telephone line.

3 Within any given workstation 12, a system bus 32 may operably interconnect the
4 CPU 16, the memory devices 18, the input devices 26, the output devices 28, the network
5 interface 30, and one or more additional ports 34, such as parallel and serial ports.

6 The system bus 32 and a network backbone 36 may be regarded as data carriers.
7 Accordingly, the system bus 32 and the network backbone 36 may be embodied in
8 numerous configurations, such as wire and/or fiber optic lines, as well as electromagnetic
9 channels using visible light, infrared, and radio frequencies.

10 In general, the network 14 may comprise a single local area network (LAN), a
11 wide area network (WAN), several adjoining networks, an Intranet, an Extranet, or, as in
12 the manner depicted, a system of interconnected networks such as the Internet 40. The
13 individual workstations 12 may communicate with each other over the backbone 36
14 and/or over the Internet 40 using various communication techniques.

15 For instance, different communication protocols, e.g., ISO/OSI, IPX, TCP/IP,
16 may be used within the network 14. In the case of the Internet 40, however, a layered
17 communications protocol (i.e. TCP/IP) generally best enables communications between
18 the differing networks 14 and workstations 12.

19 The workstations 12 may be coupled via the network 14 to application servers 42,
20 and/or other resources or peripherals 44, such as scanners, printers, digital cameras, fax
21

1 machines, and the like. External networks, may be coupled to the network 14 through a
2 router 38 and/or through the Internet 40.

3 Referring now to Figure 2, a data source connection management system 200 of
4 the present invention is shown. In one embodiment, a web browser 202, is part of a
5 computer station 204. The work station 204 may contain a plurality of modules (not
6 shown) containing executable code and operational data suitable for operation within the
7 memory devices 18 of Figure 1. Of course, the memory devices 18 in which the modules
8 of the present invention are located may also be distributed across both local and remote
9 computer workstations 12 (Figure 1). Likewise, two or more illustrated modules may be
10 integrated into a single module without departing from the scope of the invention.

11 The present invention may be used over the Internet 40 in conjunction with a
12 conventional web browser 202. The web browser 202 interprets HTML documents and
13 formats and defines web pages 204. The web browser 202 may be at a remote
14 workstation 12 (Figure 1) and may be connected through the Internet 40 to a web server
15 206. The web server 206 stores HTML documents and interacts with the web browser
16 202 for downloading and uploading the documents for generation of web pages 212.

17 By way of example, a user at a remote application such as a web browser 202,
18 may want to search for a book on a book store web server via the Internet. The web
19 browser 202 connects to the server 206. The server 206 invokes an interface module 208,
20 which allows the remote user to specify a keyword in a book title for example. The
21 interface module 208 will pass the request to a data source 210 and receive data in return

1 in the form of a data file. The file can then be used to create a web page 212 either at the
2 server 206 or at the remote user's web browser 202.

3 Referring now to Figure 3, the system 200 of the present invention includes a
4 connection manager 220. The connection manager 220 is a module that may include any
5 type of computer instruction or computer executable code located within a memory
6 device and/or transmitted as electronic signals over a system bus or network. It may
7 comprise one or more logical blocks of computer instructions, which may be organized as
8 an object, procedure, function, or the like. In one preferred embodiment, the connection
9 manager 220 is running in the background on the server 206.

10 The connection manager 220 is also configured to interface with at least one
11 interface module 208. The connection manager is preferably located at a predetermined
12 address to allow the interface module 208 to readily connect to the connection manager
13 220. Each interface module 208 is also configured to interface with a remote application
14 202 which may be a web browser connected to the interface module 208 through the
15 Internet 40.

16 The connection manager 220 also interfaces with a plurality of port modules 222.
17 Each port module 222 may be associated with one or more data sources 210 and is
18 identified by a port module identifier and the data source 210 with which it is associated.
19 The port module 222, connection manager 220, and interface module 208 may each have
20 their own separate executable code. In a presently preferred embodiment, each of the port
21 modules 222, connection manager 220, and interface modules 208 communicate with

1 each other using TCP/IP protocol, which allows the present invention to be easily
2 transported between various operating system platforms such as Unix, OS, or Windows.

3 The interface module 208 seeks a connection 224 with the connection manager
4 220 at the predetermined connection manager address. As will be discussed in greater
5 detail below, the connection manager 220 will determine which data sources 210 are
6 available to the remote application 202 and which port modules 222 are associated with
7 each data source 210. The connection manager 220 will identify a port module 222 that
8 is active, but not currently busy operating as the port or gateway to the database for an
9 interface module 208. The connection manager 220 will return the port module identifier
10 to the interface module 208 to allow the interface module 208 to establish a direct
11 connection 226 with port module 222 and thus, the data source 210.

12 Instead of the connection manager 220 repeatedly having to communicate with
13 each port module 222 and each interface module 208 involved with a data source query
14 for multiple port modules 222 and interface modules 208, the connection manager 220
15 simply tracks which port modules 222 are busy. When the interface module 208 requests
16 a port module 222 for connection to the data source 210, the connection manager 220
17 simply provides or identifies a location of an available port module 222 which can then
18 communicate directly with the interface module. It will be appreciated by those of skill
19 in the art that this configuration and method will eliminate information bottlenecks at the
20 connection manager 220.
21

1 Referring now to Figure 4, a remote application connects to a web server 206 to
2 request information from a data source 210 which in one preferred embodiment is on the
3 server side. For most data sources, the request must be converted into an SQL command.
4 The request is sent to an interface module 208 which reads the request and formats it into
5 an SQL command. Both the web server 206 and the interface module 208 may be
6 resident on the server side. Further, the web server 206 or the interface module 208 may
7 convert the remote application request into an SQL query.

8 The connection manager 220 then determines an available port module 222 and
9 returns the location of the port module 222 to the interface module 208. The request may
10 then be sent as an SQL command 230 to the data source 210 directly through the port
11 module 222. In one embodiment, the data source 210 may be a database system 210 such
12 as a relational database, but hierarchical and object-oriented databases are also within the
13 scope of this invention. In other preferred embodiments, data sources may include JAVA
14 or PERL applications. In embodiments where data sources other than relational
15 databases are used, the interface module 208 is configured to be compatible with such
16 data sources in a supporting transaction and command language.

17 A database manager 232, such as DB2 manufactured by International Business
18 Machines, receives the SQL command 230 and searches in the database 210 to retrieve
19 query results 234 that satisfy the SQL command 230. The query results 234 may include
20 any number of various files stored in a database 210 including text, values, characters,
21 integers, and the like. Once the query results 234 are retrieved, the database manager 232

1 passes the query results 234 to the interface module 208 through the appropriate port
2 module 222 connection. The interface module 208 may pass the query results 234 in an
3 HTML format through the web server 206 to the remote application, which may be used
4 to create a web page 212 on a web browser 202. This feature expedites retrieval of query
5 results 214 and generation of the web page 204.

6 Accordingly, the interface module 208 is configured to be compatible with HTML
7 as well as SQL transactions and commands 230. In one embodiment, the interface
8 module 208 may be Net.Data, but one of skill in the art will appreciate that other
9 computer gateway interfaces capable of supporting and interfacing HTML formats and
10 SQL commands may be used as well.

11 Referring now to Figures 4, 5, and 6, each port module is known by an associated
12 database and port module identifier. In one embodiment, a data structure 240 includes
13 entries 242 containing the name of the data source 210, a minimum number of port
14 modules 222 associated with the data source 210, and a maximum number of port
15 modules 222 associated with the data source 210. In one presently preferred
16 embodiment, the data structure is a data source array 240. It will be appreciated by those
17 of skill in the art that any type of data structure or storage device may be used to store
18 information of the type stored in the data source array 240.

19 A second data structure 244 indexes the data source array 240 and includes entries
20 246 containing data regarding each port module 222 associated with a particular data
21

1 source 210. Each entry identifies which data source each port module belongs to, the port
2 module identifier, and a status, which states whether the port module is busy or available.

3 In a preferred embodiment, the data structure 244 is a port module array 244. It will be
4 appreciated by those of skill in the art that any type of data structure or storage device
5 may be used to store information of the type store in the port module array 244.

6 The connection manager 220 returns a port module identifier of an available
7 particular port module 222 to the interface module by checking the availability status for
8 the port module 222 associated with the data source 210 that is the object of the data
9 request or SQL command 230. The availability status of a port module 222 may be
10 determined by a number of factors. Upon initialization of the connection manager 220,
11 the minimum number of port modules, as stored in the data source array 240 are launched
12 and available for connecting to an interface module 208.

13 Once a port module 222 is connected to an interface module 208, that port module
14 222 is no longer available and its status changes to busy or unavailable. Once a busy port
15 module 222 is no longer occupied by an interface module 208, its status is updated from
16 busy to available and passed along to the connection manager for storage in the port
17 module data structure 244. Each port module 222 may be configured to refresh or restart
18 itself after a predetermined period of time, or after a particular event, such as the
19 occurrence of an error.

20 In a presently preferred embodiment, each port module 222 is configured to know
21 its availability status and continually report that status to the connection manager 220 for

1 storage in the port module array 244. It will be appreciated by those of skill in the art that
2 in this configuration, the connection manager 220 need not initiate contact with the data
3 source 210 every time a request or SQL command passed from the interface manager
4 208. That would be time consuming, especially for data sources residing on main frames.
5 Instead, the connection manager 220 need only determine the first port module 222
6 having an "available" status and pass the corresponding port module identifier to the
7 interface module 208 for direct connection 226.

8 It will be appreciated by those of skill in the art that there are many ways for
9 connection manager 220 to determine the first available port module 222. One such way
10 includes storing the port module identifier for each available port module 222 in a queue
11 (not shown). In one preferred embodiment, the queue may include a first-in-first out
12 array. If the status of an "available" port module 222 changes, the associated port module
13 identifier is removed from the queue. The connection manager 220, need only check the
14 queue of "available" port module identifiers to find an available port module 222.

15 In one preferred embodiment, the connection manager 220 may be configured to
16 launch additional port modules 222 up to the predetermined maximum number of port
17 modules 222 for a particular data source 210; the number being stored in the data source
18 array.

19 It will be appreciated by those of skill in the art that the storage of information
20 such as that stored in the arrays 240, 244 may be accomplished in various ways using
21 various data structures. It will further be appreciated that these arrays 240, 244 or data

1 structures 240, 244 may be part of the connection manager 220, the port module 222, the
2 interface module 208, or may be a separate module, and still provide the necessary
3 information to enable a direct connection 226 between the interface module 208 and the
4 port module 222 after the manner of the present invention.

5 In one preferred embodiment, communication between the interface module 208,
6 connection manager 220, and the port module 222 may be subject to authentication as a
7 security measure. It will be appreciated by those of skill in the art that the data source
8 array 240 and/or the port module array 244 may also include user identification or
9 password data for use in verifying or authenticating the relevant communications. It will
10 further be appreciated that any such identification data may be part of a separate module,
11 or hard coded into the system 200.

12 Referring now to Figure 7, one preferred embodiment of the present invention
13 includes an arbitrary set of parameters, which may be embodied as a log file 250
14 associated with the connection manager 220 and each port module 222. Each log file 250
15 contains data 252 relating to the activity of the port module 222 and connection manager
16 220 to facilitate the tracking of data flow through the system 200. The data 252 in each
17 log file 250 may include, for example, the date, time, a previous SQL statement or
18 request, a present SQL statement or request, a number of rows, a warning message, an
19 error messages, a feature database schema, a number of records, and the like. Because
20 each port module 222 will have a port module identifier and data source identifier, a user
21 may track the how many, and what type of, SQL statements passed to the data source

1 210. One may also determine the time of the last SQL statement or request to the data
2 source 210 and other information at predetermined points in the process.

3 It will be appreciated by those of skill in the art that this information would
4 facilitate debugging the system 200. For example, users at a remote application 202 that
5 may be creating or programming a web page that utilizes data stored at a data source 210
6 may check the log files 250 to track down an error in the application.

7 Each port module 222 and the connection manager 210 writes to a log file 250,
8 which in one preferred embodiment is stored as a flat file. In a presently preferred
9 embodiment, the log file 250 has a maximum storage capacity of one megabyte. Once
10 the log file 250 is full, another log file 250 may be generated. The log file 250 in
11 accordance with the present invention is dynamic. The data 252 stored in the log files
12 250 is continually updated as the system 200 operates. It will be appreciated by those of
13 skill in the art that various sizes or types of files can be used to store the data 252
14 contained in the log files 250. Of importance is the fact that the data 252 in the log files
15 250 is accessible to the remote application 202.

16 The log file 250 is configured such that a user at a remote location 202 can view
17 the data 252 stored in the log files 250 on a screen, print out the data 252, or send it to
18 another application. The user of a remote application 202 may also determine which of
19 predetermined types of data will be stored in the log files 250. The user may set the log
20 files 250 at a maximum level so that a maximum amount of data is stored in the log files
21 250. The user may also set the log files 250 to store a minimum level of data. It will be

1 appreciated by those of skill in the art that the system 200 will run more efficiently when
2 the amount of data 252 stored in the log file 250 is set to a minimal level.

3 In one preferred embodiment, the log file 250 stores a change in status of the data
4 source 210 from active to inactive. Thus, the port module 222 knows whether the data
5 source 210 was "down" the last time the port module 222 was connected to the interface
6 module 208. When the connection manager 220 seeks to establish a connection with the
7 data source 210 through the port module 222, the port module 222 will check the last
8 status of the data source 210, to which it is assigned to determine whether the data source
9 210 was active or inactive. If the last detected status indicated that the data source was
10 inactive or "down," the port module 222 will reestablish a connection with the data
11 source 210.

12 The connection or reconnection between the port module 222 and the data source
13 210 may be transparent to the interface module 208. If the data source 210 is down, then
14 the port module 222 will send the interface module 208 an error message stating that the
15 data source 210 is down. When the data source 210 becomes active or is otherwise
16 accessible again, the entire system 200 does not have to stop and restart in order to
17 reestablish a connection between the interface module 208 and the data source 210. The
18 port module 222, because it knows that the data source 210 was down during its last
19 connection, will reestablish a connection with the data source.

20 One of skill in the art will appreciate that if the connection manager 220 had to
21 reinitialize, all of the part modules 222 attached to the connection manager 220, even

1 those whose connection to a respective data base was functioning fine, would reset, thus
2 interrupting a data search. Thus, it is an advantage of the present invention for the port
3 module 222 to be able to determine the last status of the data source 210, and be able to
4 reconnect to its data source 210 without the connection manager 220 having to
5 reinitialize. This enhanced error recovery makes the system more reliable. Most
6 importantly, users see the system as more reliable and less temperamental, requiring less
7 interaction.

8 Referring to Figure 8, a flow diagram 300 which incorporates one embodiment of
9 a method of use of the system 200 of Figures 2-6. In step 302, the method begins. A
10 remote application, such as the web browser 202 requests data from a data source 210.
11 The request may be sent over the Internet 40 to the web server 206, which provides 304
12 the interface module 208 which translates the request into a suitable search command
13 such as SQL.

14 The connection manager 220 is initialized 306 for communication with the
15 interface module 208. The connection manager 220 identifies 308 and stores the location
16 of the port modules 222 associated with a particular data source 210. The port modules
17 222 serve as the connection port to the data source 210 for the interface module 208. The
18 connection manager 210 facilitates the communication between the interface module 208
19 and the connection manager 220. The connection manager 220 establishes a connection
20 with the port module 222 and determines 310 whether the port module 222 is available.
21 Each port module 222 is associated with a particular data source 210 and identified by a

1 port module identifier, which are each stored in a suitable data structure. A port module
2 identifier for an available port module 222 is returned 312 to the interface module 208. A
3 direct connection is established 214 between the interface module 208 and the port
4 module 222.

5 In one preferred embodiment, the connections between the interface module 208,
6 the connection manager 220, and the port module 222 are authorized to facilitate secured
7 communications.

8 The request 314 converted into SQL is passed to the data source 210 and the
9 retrieved data 316 is inserted 318 into an HTML format to support interfacing between
10 the remote application 202 and the data source 210. The method may then be repeated
11 320 for additional data source requests or queries. If there are no further requests, the
12 remote application 202 disconnects from the server 206 and the method terminates 322.

13 The invention provides for the management of the connection between remote
14 applications and a data source which alleviates bottlenecks in the data flow. The system
15 and method of the present invention simplifies the connection between the remote
16 application and the data source process by reducing the steps in the connection process.
17 The system and method of the present invention eliminates the need to continually
18 synchronize the interface module 208 with the port module 222 for each data source 210
19 request. The invention may be readily adapted to existing software applications such as
20 web browsers, web servers, database management systems, operating systems and other
21 modules disclosed herein.

1 The present invention may be embodied in other specific forms without departing
2 from its scope or essential characteristics. The described embodiments are to be
3 considered in all respects only as illustrative and not restrictive. The scope of the
4 invention is, therefore, indicated by the appended claims rather than by the foregoing
5 description. All changes which come within the meaning and range of equivalency of the
6 claims are to be embraced within their scope.

7 What is claimed and desired to be secured by United States Letters Patent is:
8
9
10
11
12
13
14
15
16
17
18
19
20
21

Claims

1. A method for connecting a plurality of remote applications with a data source, the method comprising:
 - providing at least one interface module configured to interface with a remote application;
 - providing at least one port module configured to interface between the interface module and the data source;
 - providing a connection manager to facilitate the interface between the interface module and the port module; and
 - establishing a direct connection between the interface module and the port module.
2. The method of claim 1, further comprising initializing the connection manager.
3. The method of claim 1, further comprising establishing a connection between the connection manager and the port module.
4. The method of claim 1, further comprising returning a port module identifier for an available port module to the interface module for directly connecting the interface module and the port module.

- 1 5. The method of claim 1, further comprising authorizing a connection between the
2 interface module and the connection manager.
3
- 4 6. The method of claim 1, further comprising authorizing a connection between the
5 port module and the connection manager.
6
- 7 7. The method of claim 4, wherein the step of returning a port module identifier for
8 an available port further comprises the steps of:
9 determining which port modules are associated with each data source and
10 storing a data source identifier in a data structure;
11 identifying each port module by a port module identifier and storing the
12 port module identifier in a data structure; and
13 determining the availability for each port module and storing an
14 availability status for each port module in a data structure.
15
- 16 8. The method of claim 7, where in each data structure is an array.
17
- 18 9. The method of claim 7, further comprising, storing the port module identifier for
19 each available port module in a queue.
20
21

- 1 10. The method of claim 7, further comprising retrieving data from the data source
- 2 responsive to a command from the requesting application.
- 3
- 4 11. The method of claim 9, wherein the queue is a first-in-first-out array.
- 5
- 6 12. The method of claim 9, wherein the port module identifier for a port module that
- 7 is no longer available is removed from the queue.
- 8
- 9 13. The method of claim 9, further comprising returning the first port module
- 10 identifier placed in the queue.
- 11
- 12 14. The method of claim, 13 further comprising converting the command to a
- 13 structured query language format to support interfacing with the data source.
- 14
- 15 15. The method of claim 13, further comprising inserting data into an HTML format
- 16 to support interfacing between the requesting application and the data source.
- 17
- 18 16. The method of claim 13, further comprising repeating the preceding for each
- 19 additional data source query.
- 20
- 21

1 17. The method of claim 16, further comprising disconnecting the remote application
2 from the interface module.
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21

- 1 18. A computer readable medium having stored thereon computer executable
2 instructions for performing a method for connecting a plurality of remote
3 applications with a data source, the method comprising:
4 providing at least one interface module configured to interface with a
5 remote application;
6 providing at least one port module to interface between the interface
7 module and the data source;
8 providing a connection manager to facilitate the interface between the
9 interface module and the port module; and
10 establishing a direct connection between the interface module and the port
11 module.
12
13 19. The computer readable medium of claim 18, wherein the method further
14 comprises initializing the connection manager.
15
16 20. The computer readable medium of claim 18, wherein the method further
17 comprises authorizing a connection between the interface module and the
18 connection manager.
19
20
21

- 1 21. The computer readable medium of claim 18, wherein the method further
2 comprises authorizing a connection between the port module and the connection
3 manager.
4 22. The computer readable medium of claim 18, wherein the method further
5 comprises establishing a connection between the connection manager and the port
6 module.
7
8 23. The computer readable medium of claim 22, wherein the method further
9 comprises returning a port module identifier for an available port module to the
10 interface module for directly connecting the interface module and the port
11 module.
12
13 24. The computer readable medium of claim 23, wherein the method further
14 comprises of steps of determining which port modules are associated with each
15 data source and storing a data source identifier in a data structure, identifying each
16 port module by a port module identifier and storing the port module identifier in a
17 data structure, and determining the availability for each port module and storing
18 an availability status for each port module in a data structure.
19
20 25. The computer readable medium of claim 24, wherein each data structure is an
21 array.

- 1
- 2 26. The computer readable medium of claim 24, wherein the method further
- 3 comprises, storing the port module identifier for each available port module in a
- 4 queue.
- 5
- 6 27. The computer readable medium of claim 26, wherein the queue is a first-in-first-
- 7 out array.
- 8
- 9 28. The computer readable medium of claim 26, wherein the port module identifier
- 10 for a port module that is no longer available is removed from the queue.
- 11
- 12 29. The computer readable medium of claim 26, further comprising returning the first
- 13 port module identifier placed in the queue.
- 14
- 15 30. The computer readable medium of claim 24, wherein the method further
- 16 comprises retrieving data from the data source responsive to a command from the
- 17 requesting application.
- 18
- 19 31. The computer readable medium of claim, 30 wherein the method further
- 20 comprises converting the command to a structured query language format to
- 21 support interfacing with the data source.

- 1
- 2 32. The computer readable medium of claim 30, wherein the method further
- 3 comprises inserting data into an HTML format to support interfacing between the
- 4 requesting application and the data source.
- 5
- 6 33. The computer readable medium of claim 30, wherein the method further
- 7 comprises repeating steps 18 through 28 for each additional data source query.
- 8
- 9 34. The computer readable medium of claim 30, wherein the method further
- 10 comprises disconnecting the remote application from the interface module.
- 11
- 12
- 13
- 14
- 15
- 16
- 17
- 18
- 19
- 20
- 21

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

35. A system for connecting a plurality of remote applications with a data source, the system comprising:

an interface module configured to interface with a remote application;

a port module configured to interface between the interface module and the data source; and

a connection manager module configured to facilitate a direct interface between the interface module and the port module.

36. The system of claim 35, further configured to initialize the connection manager.

37. The system of claim 35, wherein the connection manager is configured to authorize the interface between the interface module and the connection manager.

38. The system of claim 35, wherein the connection manager is configured to authorize the interface between the port module and the connection manager.

39. The system of claim 35, wherein the method further comprises establishing a connection between the connection manager and the port module.

- 1 40. The system of claim 35, wherein the connection manager establishes a connection
2 between the connection manager and the port module.
3
4 41. The system of claim 40, wherein the port module is programmed to report its
5 availability to the connection manager.
6
7 42. The system of claim 41, wherein the connection manger is configured to return a
8 port module identifier for an available port module to the interface module for
9 directly connecting the interface module and the port module.
10
11 43. The system of claim 35, further comprising a data structure for storing which port
12 modules are associated with each data source.
13
14 44. The system of claim 35, further comprising a data structure for storing a port
15 module identifier identifying each port module.
16
17 45. The system of claim 44, wherein the data structure is an array.
18
19 46. The system of claim 35, further comprising a data structure for storing an
20 availability status for each port module that is available for establishing a
21 connection to the data source.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21

47. The system of claim 46, wherein the data structure is an array.

48. The system of claim 35, wherein the interface module is configured to support HTML and provide interfacing between the requesting application and the data source.

49. The system of claim 35, wherein the interface module is configured to convert the command from the remote application to a structured query language format to support interfacing with the data source.

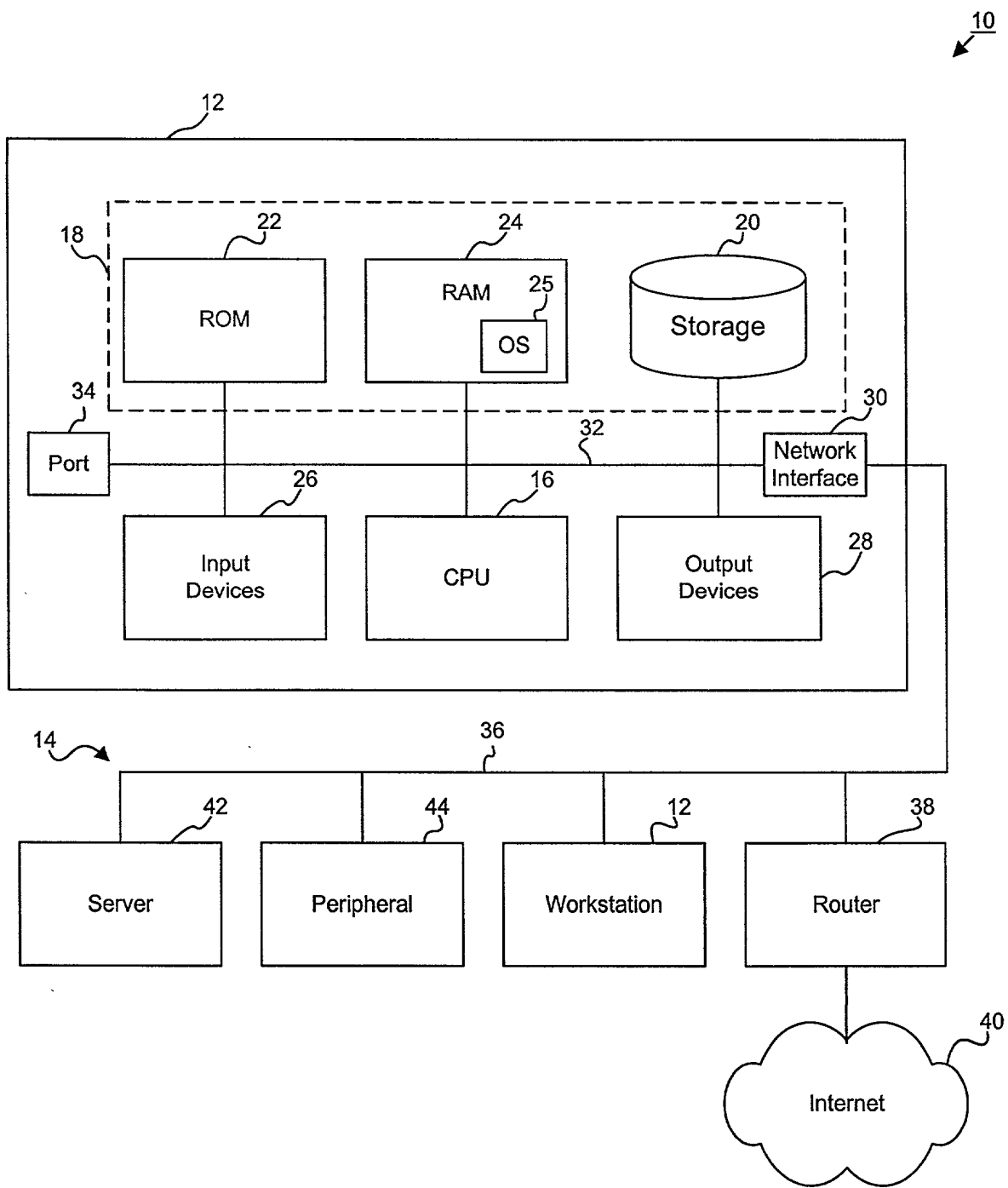


Fig. 1

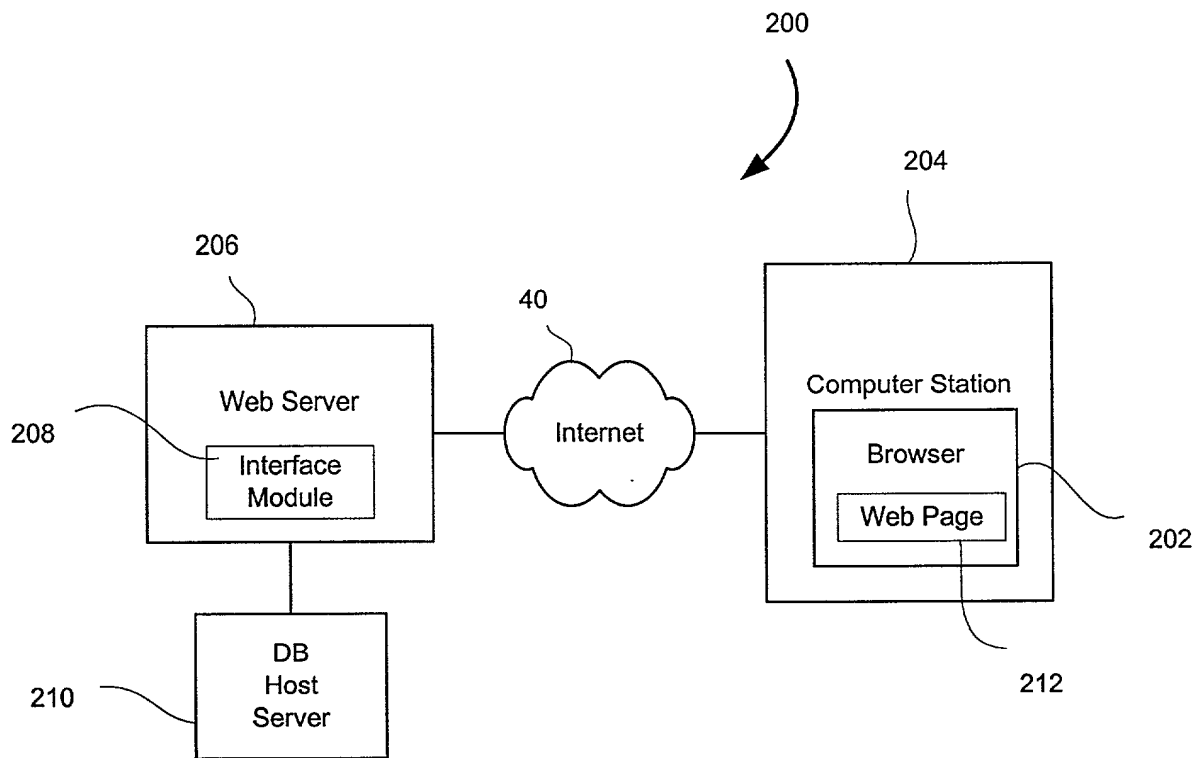


Fig. 2

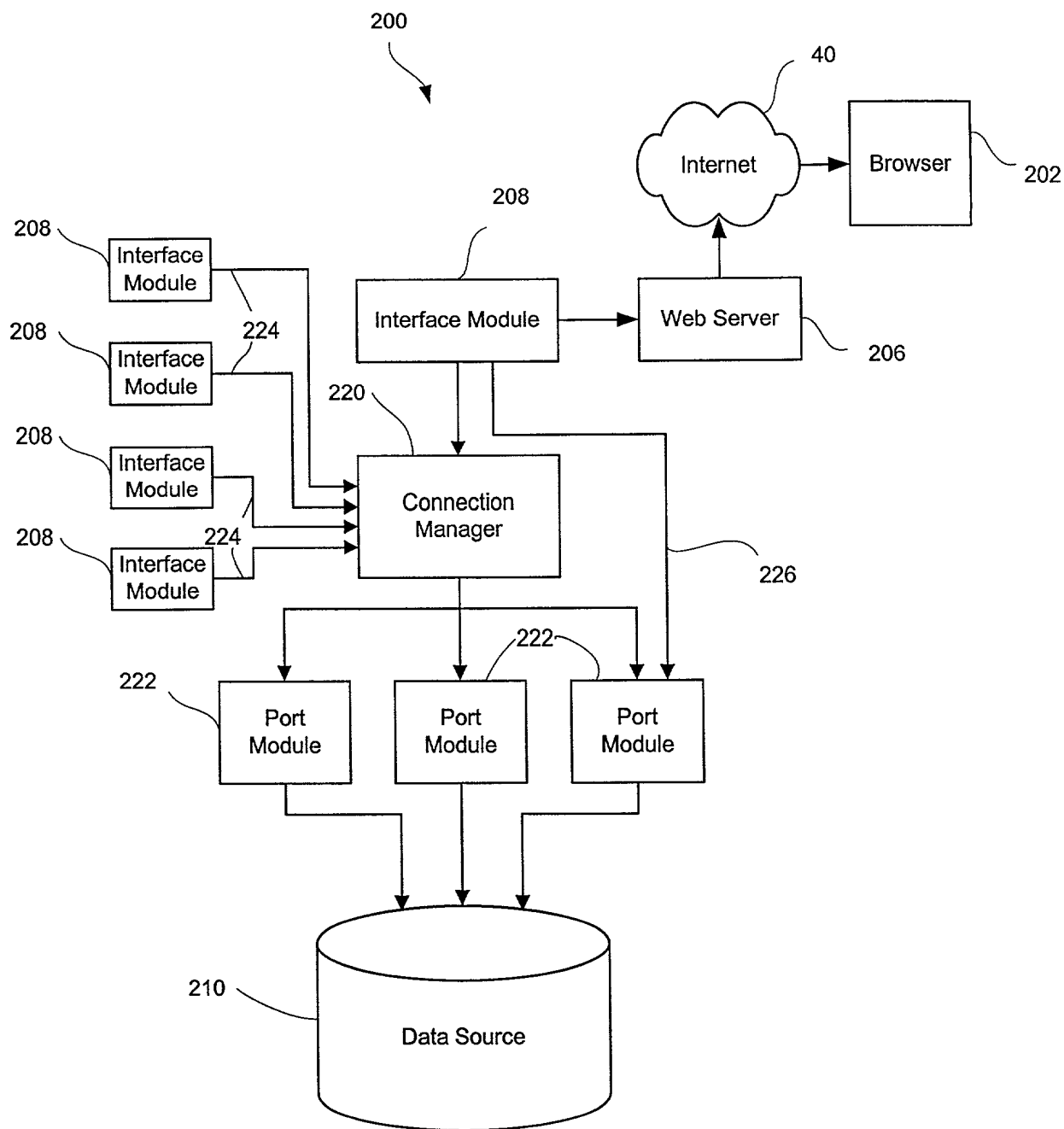


Fig. 3

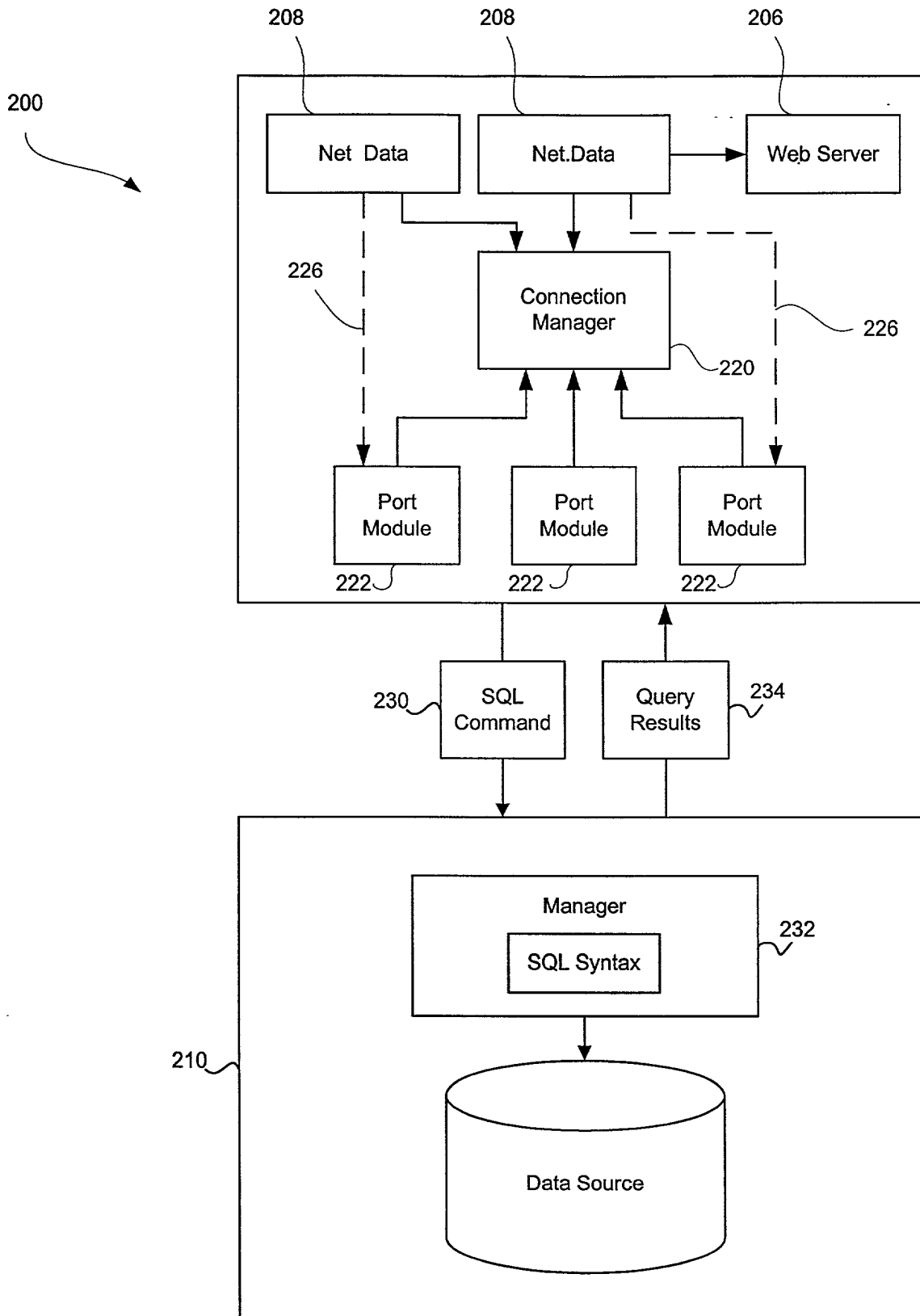


Fig. 4

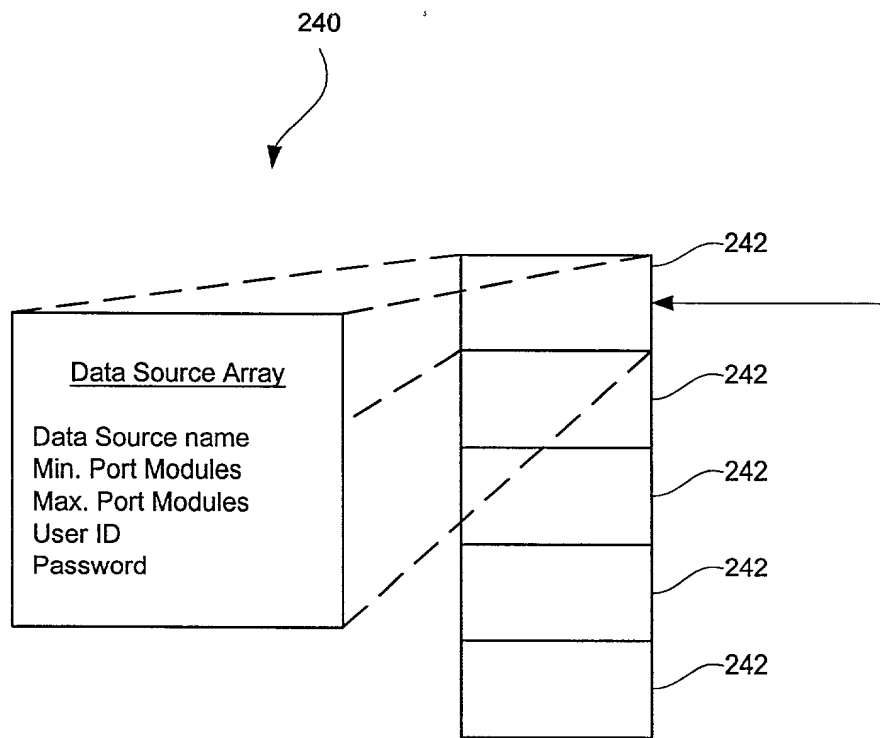


Fig. 5

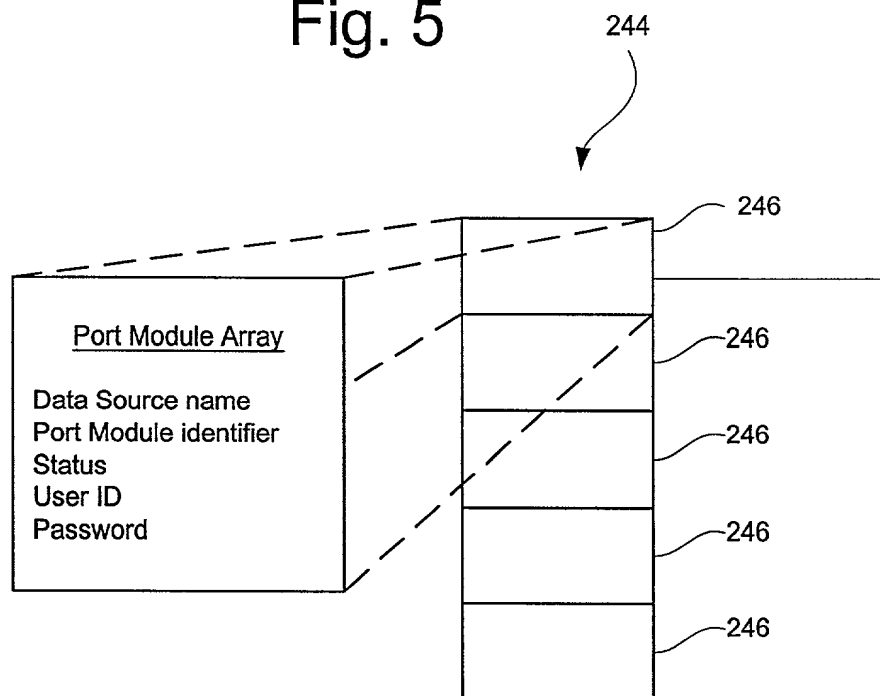


Fig. 6

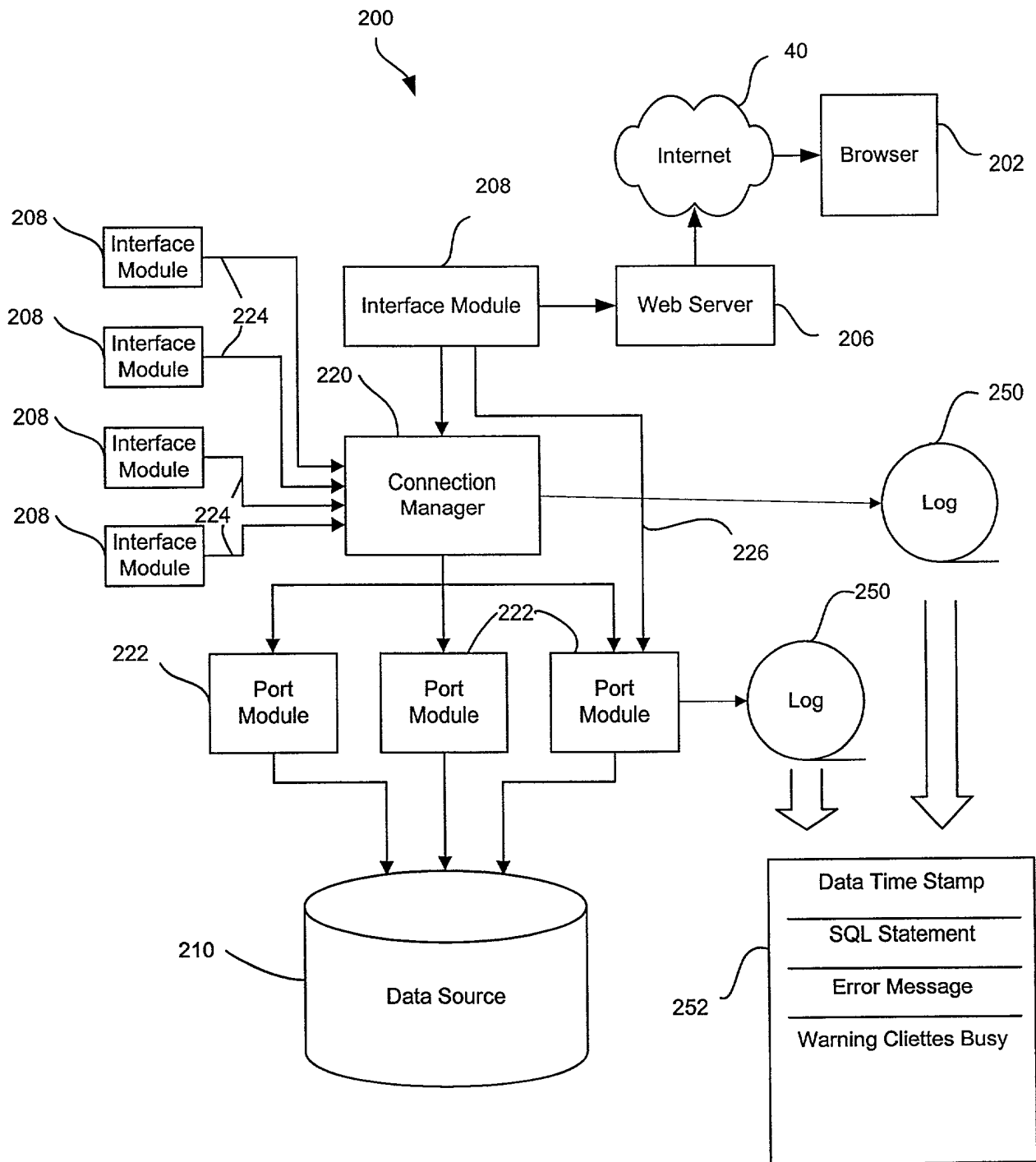


Fig. 7

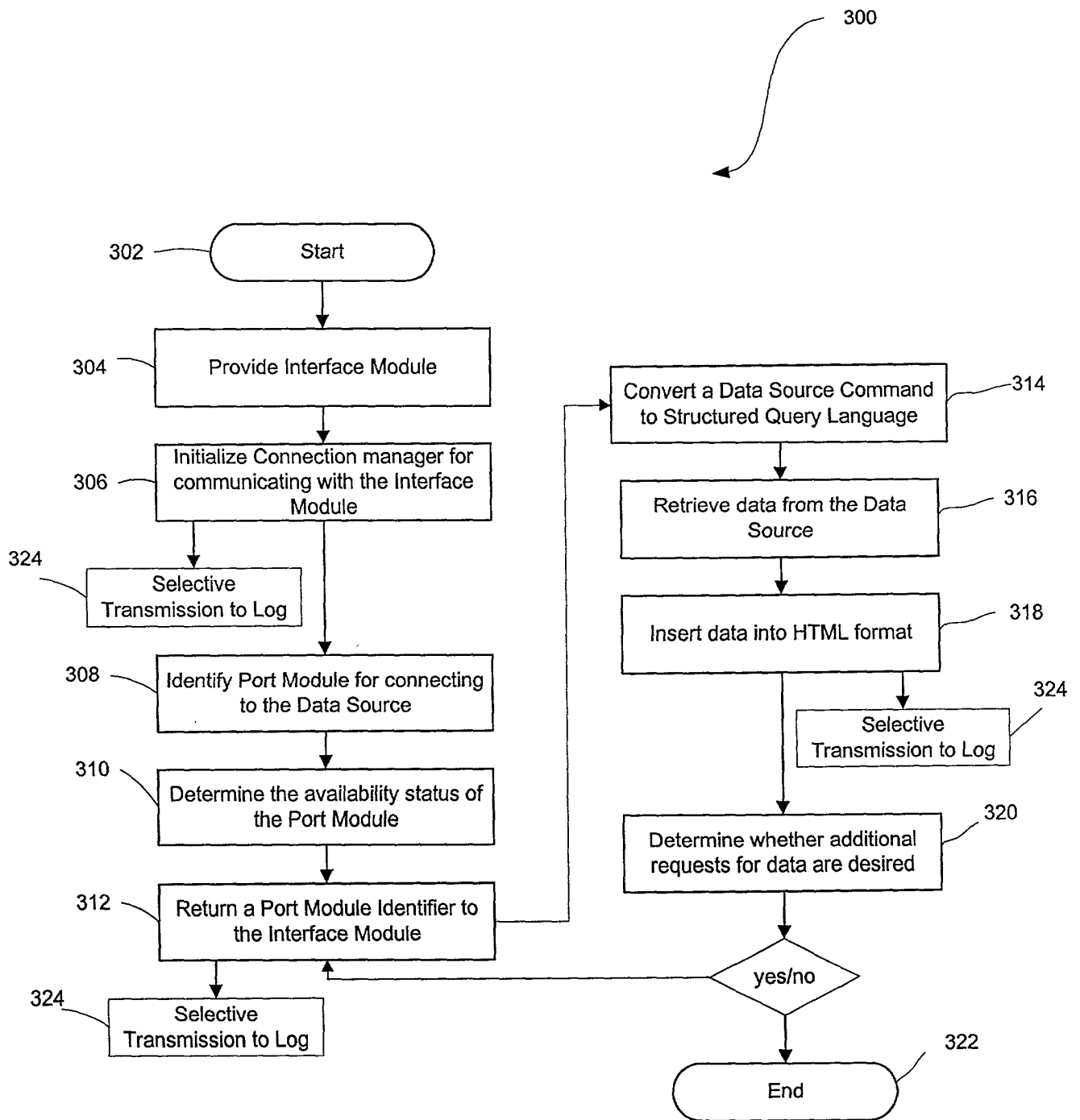


Fig. 8

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence and citizenship are as stated below next to my name;

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

LIVE CONNECTION ENHANCEMENT FOR DATA SOURCE INTERFACE

the specification of which (check one)

 X is attached hereto.
 was filed on _____
as Application Serial No. _____
and was amended on _____ (if applicable).

I hereby stat that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, united States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed
<u> none </u>	<u> </u>	<u> </u>	<u> </u> Yes <u> </u> No
(Number)	(Country)	(Day/Month/Year filed)	

I hereby claim the benefit under Title 35, Untied States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u> none </u>	<u> </u>	<u> </u>
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Romualdas Strimaitis: 35,697
Timothy M. Farrell: 37,321
Ingrid M. Foerster: 36,511
Prentiss W. Johnson: 33,123
Christopher A. Hughes: 26,914
John E. Hoel: 26,279
Edward A. Pennington: 32,588
Joseph C. Redmond, Jr.: 18,753
Craig J. Madson: 29,407
L. Craig Metcalf: 31,398

Evan R. Witt: 32,512
A. John Pate: 36,234
Gary D.E. Pierce: 38,019
David B. Fonda: 39,672
John R. Thompson: 40,842
Barton W. Giddings: 41,036
Hal D. Baird: 42,284
Kory D. Christensen: 43,548

Send correspondence to:

John R. Thompson
MADSON & METCALF
15 West South Temple, Suite 900
Salt Lake City, Utah 84101
Telephone: (801) 537-1700

Full name of sole or first joint-inventor: Lynh Nguyen

Inventor's signature: Lynh Nguyen Date: July 5, 2000

Residence: 2023 Admiral Place, San Jose, California 95133

Citizenship: United States of America

Post Office Address: Same